



**Iowa Retirement Investors' Club (RIC)**  
**457/401a Plans**  
 Look forward to retirement!

## Heartland AEA RIC Account Form



<b>Personal Information</b>	Name _____ Social Security # _____
	Address _____ City _____ State _____ Zip _____
	Birth Date _____ Telephone (daytime) _____ Telephone (home) _____

<b>457 Payroll Deduction</b>	<b>Designate the deduction amount to send to your provider.</b> <i>The combined amount of all 457 contributions in a tax year is limited to the IRS annually declared maximum contribution limits.</i>		<b>Effective date.</b> <i>Deduction changes will take effect the month after your request is received. You may elect a future date or specify a single check below.</i>	
		<b>Pretax</b>	<b>Roth (post-tax)</b>	<b>Future effective date</b> (if desired)
	AIG (Formerly VALIC)	\$ _____ /check	\$ _____ /check	<input type="checkbox"/> Begin as of _____ (check date)
	Empower*	\$ _____ /check	\$ _____ /check	<input type="checkbox"/> 1 check only _____ (check date)
	Horace Mann	\$ _____ /check	\$ _____ /check	
Voya	\$ _____ /check	\$ _____ /check		

<b>Provider Changes</b>	<b>Designate the amount of existing assets to transfer.</b> <i>You must have established an account with the receiving provider to complete a transfer.</i>		<b>Designate the redirection of future contributions.</b> <i>You must have established an account with the receiving provider.</i>		
	<b>Please transfer:</b>	<b>From:</b>	<b>To:</b>	<b>Stop contributions to:</b>	<b>Redirect contributions to:</b>
	<input type="checkbox"/> 100% <input type="checkbox"/> \$ _____	<input type="checkbox"/> AIG <input type="checkbox"/> Empower* <input type="checkbox"/> Horace Mann <input type="checkbox"/> Voya <input type="checkbox"/> _____	<input type="checkbox"/> AIG <input type="checkbox"/> Empower* <input type="checkbox"/> Horace Mann <input type="checkbox"/> Voya	<input type="checkbox"/> AIG <input type="checkbox"/> Empower* <input type="checkbox"/> Horace Mann <input type="checkbox"/> Voya	<input type="checkbox"/> AIG <input type="checkbox"/> Empower* <input type="checkbox"/> Horace Mann <input type="checkbox"/> Voya

<b>Participant Signature</b>	I authorize my employer to direct my contributions and make payroll deductions as indicated above. I understand and agree to the terms and conditions of the Iowa Retirement Investors' Club (RIC). I have access to a RIC At-A-Glance and Plan Document. I have established 457 and 401a accounts with one of the RIC providers. I understand that withdrawals may only be made upon termination of employment or qualification for an in-service distribution. I understand that the total of all 457 contributions made in the calendar year may not exceed the federal limits as required by the Internal Revenue Code section 457b.	
	<b>X</b> _____ Participant Signature Date	

<b>Form Submission</b>	<b>New Accounts:</b>	<b>Provider account forms:</b> Forward to the provider
	<b>Changes to Existing Accounts:</b>	<b>RIC Account Form:</b> Forward to your payroll office
		Forward this form to your payroll office (shown below)

**Agent Use Only** (Not required for existing accounts or online provider enrollment, if available)

I am authorized to open accounts for this employee and verify that he/she has established 457/401a accounts with the provider shown below.

Print Agent Name	Agent Signature	Agent Phone Number	Provider Name	Date
<b>Payroll Office</b>	Date Received: _____	Paycheck Effective Date: _____	Name: _____	
<b>RIC Use Only</b>	Date Pended: _____	Entered: _____	Checked: _____	



Visit the RIC website at <https://das.iowa.gov/RIC> to access the RIC At-A-Glance (under *Providers & Investments*), IRS maximum contribution limits, and other plan options specific to the Iowa RIC 457/401a plans.

\* Empower – formerly MassMutual Retirement

**Heartland AEA 11**

**6500 Corporate Drive ■ Johnston, IA 50131 ■ (515) 270-9030 ■ (800) 362-2720**

CFN 552-0317- AEA11

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Iowa Retirement Investors' Club (RIC) ■ 866-460-4692 (toll free) ■ <https://das.iowa.gov/RIC>

